



Client Name / Business name	OneFortyOne Plantations Pty Ltd
Date(s) of review:	Tuesday 20 th and Wednesday 21 st June 2017
Type of review	Surveillance post-certification
Site visited (include address)	Jubilee Highway, Mt Gambier South Australia 5290; and field sites Nangwarry Depot, Riddoch Highway, Nangwarry, 5277 SA. Various forest sites.
Certification standard	AS 4708:2013
Scope of activities within the scope of certification (short description)	Forest management activities and forest operations within the Defined Forest Area [DFA] that is predominantly plantations.
Scope of the audit / review	Criteria 1, 8 and 9 as applicable to the DFA and sites.
Names of review team members:	Jonathan Tibbits, Wayne Tibbits [audit team leader]
Name of Global-Mark Client Manager, and signatory to this report:	Wayne Tibbits [audit team leader]
Brief description of the FMU / DFA	Predominantly <i>Pinus radiata</i> plantations.
Guidance as to how to obtain further details of the DFA may be accessed	Maps are available online at onefortyone.com/sustainable-forests/certification
The area of the DFA as advised by AFSL (including updates)	93,564 hectares
Any applicable qualifications for the scale and intensity as referred to in AS4708	Nothing to comment on.
Exclusions to the standard/management system	Some requirements are not applicable to the forest types in the DFA.
Name of Management Representative	Mrs Anne Kerr

Review team declaration:

We confirm that for the purpose of this review:

- We did not have any conflict of interest with and is fully independent from the company listed above
- We had the review team has sufficient resources, and competences to complete its review and reach its conclusions,
- We had the appropriate credentials to perform this review in accordance with Global-Mark and applicable accreditation requirements.

Comment and disclaimer on this report:

- This report does not and should not be seen as advice. Please consult a qualified advisor or consultant for advice.
- Due to the sampling nature of third party business reviews, the time available and samples size, some issues, non-compliances or improvements might not have been identified in the present report. This does not imply that these issues do not exist, or are in compliance. Employees, management and other stakeholders of the organization need to and are responsible for, continuously identifying and taking necessary controls to ensure continued compliance with the standard(s), and improvement.
- Readers of this report should make judgement taking the above into account.
- The report is confidential, and is owned by the organization listed above, Global-Mark Pty Ltd and the review team members who participated in its preparation. The summary of it is publicly available through Global-Mark.
- Global-Mark reserves the right to make this report available to regulators, and/or funding providers if requested.

Attendance to opening and exit meeting (record below or refer to attendance sheet)

Name (s)	Opening meeting	Closing meeting
M. Theobald, J. Rombouts, G. Saunder, A. Kerr, S. Orchard, A. Bowe, W. van Niekerk	<input checked="" type="checkbox"/> Yes : <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes : <input type="checkbox"/> No
G. Rivers, J. Jager	<input type="checkbox"/> Yes : <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes : <input type="checkbox"/> No
Global-Mark audit team members: Jonathan Tibbits & Wayne Tibbits	<input checked="" type="checkbox"/> Yes : <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes : <input type="checkbox"/> No

1 Review team conclusions

Audit observations were made, documents inspected and discussions held at this review to gather objective evidence for evaluating conformance or nonconformance with the requirements of the standard at the Mt Gambier offices, one field depot and at various field sites across their DFA. Audit duration was split equally between office and field.



Throughout this report OneFortyOne Plantations Pty Ltd may be referred to as OneFortyOne, OFO, the forest manager or the organisation.

This is the first surveillance review since the 2016 issue of a new certificate. It is one of three scheduled during the three years of the certificate, valid until 19/09/2019. This audit was planned to sample early winter activities within the DFA. The next two surveillance audits are planned to sample autumn and late spring/early summer activities respectively, whilst the re-certification is planned to sample late winter activities.

The DFA is updated annually in late June and depicted at the organisation's website where a map sheet is linked to Arc-GIS. Consequently, it is unchanged since our previous audit report of September 2016, showing 93,564 hectares.

Field sites and office visited:

The forest manager supplied us prior to this review a list in which the pine plantation FMU had 118 active operations within the previous three months (from March 2017). We determined for these pine plantations that, when rounded up, then the minimum number of coupes to visit was 11, with three [3] or some 25% selected at random [using random numbers generated in Excel]. In fact, the audit team visited 12 active sites and one inactive site in the pine FMU. Sites visited are listed in Table 1 below. Some photographs taken may be included in the PDF report.

Consultation with interested parties, including stakeholders: At this review there was none scheduled.

General points and recommendation:

Evidence is that the organisation provides resources appropriate to the nature, scale and impacts across the DFA for its activities, enabling the forest management system [FMS] and its associated field operations have ability to meet the requirements of the AFS criteria of Systematic Management, Cultural Values and Social & Economic Benefits as listed under AS4708: 2013. There have been several changes in staffing since the previous audit. The team employed by OFO appears well qualified and experienced to achieve its forest management objectives and targets.

During this audit we raised only one new finding, shown as red colour font in the comments of the report's main table. This was as an observation, which by definition is "not a breach of requirements, or the breach is insignificant and likely to have no impact on the function and intent of the forest management system". This is a commendable outcome for any forest manager. We also closed all previous open findings from the last review [see comments in the main body of the report, section 3]. Other comments for the forest manager to note are in yellow highlight.

We believe that the organization listed above at the site(s) listed above has the capability to systematically meet the requirements of the Australian Forestry Standard and for the activities/products and sites listed on the Global-Mark scope of certification.

2 Review teams findings (major, minor non-conformities) or observations

Number of findings: At the conclusion of this review the following presents the status of new and existing findings:

Finding Details	# Major non-conformities	# Minor non-conformities	# Observations	Total
Findings from previous audit(s) at start [№ 18-19, 21, 23, 24]	0	0	5	5
Findings from above closed during this audit [№ 18-19, 21, 23, 24]	0	0	5	5
New findings opened during this audit [№ 25]	0	0	1	1
Findings open at the end of this audit [№ 25]	0	0	1	1

Table 1 - List of sites visited during the audit on Wednesday 21st June.

Activity Auditor	Site ID	Site features	Operations active or past
Silviculture operations	№ 1: Forest = Mt Gambier Locality = Pudneys (91)	Pine: No significant features in 26.93 hectares.	Event № = 200500 Operation = clear, heap,



Activity Auditor	Site ID	Site features	Operations active or past
JT	Compartments = 100,101	Some issues primarily related to stump heights.	spread Contractor = Nomad Contracting Pty Ltd
	№ 2: Forest = Mt Gambier Locality = Hoods Drift	Pine: Significant feature is an indigenous heritage site.	Visited indigenous heritage site at Kongorong.
	№ 3: Forest = Mt Gambier Locality = Littles (68) Compartments = 120 [Randomly selected]	Pine: No significant features in 14.15 hectares. Inspected fumigated rabbit holes.	Event № = 070003 Operation = pest control Contractor = None, OFO itself
	№ 4: Forest = Mt Gambier Locality = Degaris (81) Compartments = 100-120	Pine: Significant features in 176. 9 hectares was cave that had been marked and excluded with fencing.	Event № = 230193 Operation = planting Contractor = Kennedy
	№ 5: Forest = Mt Burr (2) Locality = Mt Burr	Pine: Observed firebreak pruning operation.	Operation = firebreak pruning Contractor = Versatile Trimming
	№ 6: Forest = Mt Burr (2) Locality = 3 Gates (28)	Pine: No significant features in 119.14 hectares. Observed chopper roller operation.	Event № = 200510 Operation = clear, heap, spread Contractor = Nomad Contracting Pty Ltd
	№ 7: Forest = Myora (4) Locality = Majors (99) Compartments =	Pine: Observed firebreak pruning operation.	Event № = 050105 Operation = pruning access Contractor = Tree Works Aust. Pty Ltd
WT	Nangwarry depot.	Equipment, chemical store.	Nil.
Harvest operations WT	№ 8: Forest = Penola (5) Locality = North Patchells	Pine: Power lines on edge. Neighbour.	Source № = 18857 Operation = 3 rd thinning Contractor = Reid Logging
	№ 9: Forest = Penola (5) Locality = Daveys [Randomly selected]	Pine: Non Indigenous heritage.	Source № = 50436 Operation = clearfall Contractor = Harvestco
	№ 10: Forest = Mt Gambier (3) Locality = Spring Cave [Randomly selected]	Pine: Large cave adjoining site Slopes up to 12% or 7°	Source № = 92790 Operation = 1 st thinning Contractor = KC & MR Boulton Operation completed
	№ 11: Forest = Mt Gambier (3) Locality = Burrungle School (84)	Pine: Large branches on edge trees	Source № = 80053 Operation = 1 st thinning Contractor = KC & MR Boulton
	№ 12: Forest = Mt Gambier (3) Locality = Six Mile (76)	Pine: Large branches on edge trees	Source № = 30973 Operation = clearfall Contractor = Fennell
	№ 13: Forest = Myora (4) Locality = Honeysuckle Road	Pine: Large cave within site and two eagle nests.	Source № = 38071 Operation = clearfall Contractor = Fennell



Mandatory reporting

Effectiveness of the management system (including internal audits and corrective action tool) - Comment based on audit observations and previous audit findings / history.	Both internal audits and corrective action processes are considered effective, as evidenced by improvements in and response to FMS issues identified.
Description of the DFA register used that has details of all separately described blocks or forest management units.	GIS-based system that contains all necessary descriptive information.
Description of the system that can track additions and/or deletions to the DFA between audits and that can provide areas and maps of any changes	This is also managed in the GIS.
Have there been any significant changes to the DFA and if so when did they advise Global-Mark?	The DFA is revised at end of June each year. There has not been a revision since our previous audit report.
How does and when did the client provide AFSL with an annual statement confirming the current DFA?	This is via FMS action that has a prompt to email to AFS Ltd the Authorised Area Statement.
Has there been any breach of regulatory requirements within the scope of certification to AS 4708, and when and how did the client advise Global-Mark?	None reported.

Summary of the most important observations, positive, negative including considerations of compliance risks arising from activities of relevant stakeholders.

In a number of areas, OFO are embracing technology, such as Integrum, the icam system and 'Ecart' notes.
One system improvement is the development that was not quite completed at the time of this audit, which will mean that members of staff will have online web access to maps in ArcGIS that will show much more details, including compartment. This is not able to be used for data alterations.
It was a positive audit conclusion that some compliance risk had been addressed by the organisation with a review of legislation having reference to <i>Aboriginal Heritage Amendment Act 2016</i> . Details were given of changes so knowingly harm can include disturb unless a permit has been issued.
Other positive audit evidence included attendance records for Aboriginal cultural awareness training.
Field operations were across the board were observed to have clear marking of exclusion areas, such as caves, nests, and archaeological sites.
It is acknowledged that despite attempts to control illegal rubbish dumping there may continue to be dumping.
A potential critical control point for managing risk to the FMS may relate to contractors and particularly those involved with haulage. As our example, we observed one log truck driver leaving a compartment whilst using their mobile phone.

Summary report - Sustainable Forest Management



3 End of report